IJCAI workshop on Generalization in Planning (GenPlan 22)

# Learning Generalized Policy Automata for Relational Stochastic Shortest Path Problems

Rushang Karia, Rashmeet Nayyar, Siddharth Srivastava



#### **Motivation**



- A planetary rover needs to transport rocks back to the base for analysis.
  - The rover has a slippery gripper that can hold a single rock.
  - It is possible to reach any location from any given location.
- Actions have certain predictable, but stochastic elements.
  - Given the nature of the mission, good models of the effects of actions are available.

#### How do we represent states and actions?

#### Image-based



- Some aspects of the state are not visible eg. power, coeff. friction
- Many problems cannot be naturally described using images.

#### Logic-based

 $s = \{\texttt{rock}(r_1), \texttt{loc}(l_1), \texttt{rock-at}(r_1, l_1)\}$ 

- Many problems well-suited to such representations.
- Good heuristics available.

#### How do we represent states and actions?

#### Image-based



- Some aspects of the state are not visible eg. power, coeff. friction
- Many problems cannot be naturally described using images.

#### Logic-based

 $s = \{\texttt{rock}(r_1), \texttt{loc}(l_1), \texttt{rock-at}(r_1, l_1)\}$ 

- Many problems well-suited to such representations.
- Good heuristics available.

#### How do we efficiently solve such problems?

# Generalized Relational Stochastic Shortest Path Problems (GSSPs)

• A domain D consisting of predicates **P** and actions **A**.

- A GSSP problem for a domain D is a tuple <O, S, A,  $s_0$ , G, T, C>
  - $\circ$  **O** is a set of objects.
  - **S** and **A** are sets of states and actions instantiating using **O** and **D**..
  - $\circ$  **s**<sub>0</sub> is the initial state and **G** is a set of goal states.
  - **T** is the transition function and **C** is the cost function.

• We chose GSSPs over SSPs since they allow deadends to exist.

#### **Solution to GSSPs**

• Solutions are expressed as policies  $\pi: S \rightarrow A$ 

• GSSP solvers compute policies by iteratively solving Bellman equations over states reachable from the initial state.

$$v^{i}(s) = \min_{a} \sum_{s' \in S} t(s, a, s') [c(s, a, s') + v^{i-1}(s')]$$

• Many solvers typically initialize values with heuristic estimates;  $v^0(s) = h(s)$ 

### The Need for Generalization

• State spaces grow exponentially as state variables increase.

• Most of the existing solvers are "stateless".

- 1. Planetary rover problem with 1 rock across 1 location.
- 2. Planetary rover problem with 10 rocks across 10 locations.

### **Our Approach: GPA-accelerated SSP Solvers**

- We use abstraction to create Generalized Policy Automata (GPA): abstract hypergraphs that encode partial policies.
- GPAs can be used to prune away large parts of the search space.
- SSP solvers operate over this reduced search space to quickly find solutions.
- Theoretical guarantees of hierarchical optimality and completeness.

### **Abstraction**



- Given a list of features, we usefeature kernels that convert concrete states and actions into abstract states and actions.
- We use Canonical Abstraction [Sagiv et al.; 2002] to automatically generate features and feature kernels in a domain-independent fashion.

Given a set of solution policies  $\pi_1, ..., \pi_n$ , we learn GPAs by using abstraction to iteratively merge GPAs.

- 1. We represent the solution policy as a directed hypergraph.
- 2. We convert each directed hypergraph to a GPA using abstraction.
- 3. We iteratively merge each GPA one by one.

T
$t(s_0,a_0,s_0) \rightarrow 0.8$
$t(s_0,a_0,s_1) \rightarrow 0.2$
1949 - 1990 - 1990 - 1990 - 1990 - 1990 - 1990 - 1990 - 1990 - 1990 - 1990 - 1990 - 1990 - 1990 - 1990 - 1990 -

$s_0 \rightarrow a$
$s_1 \rightarrow a$
$s_2 \rightarrow a$

Given a solution policy for a problem



Given a solution policy for a problem

- 1. We represent the solution policy as a directed hypergraph.
- 2. We convert each directed hypergraph to a GPA using abstraction.
- 3. We iteratively merge each GPA one by one.

Convert the policy to a transition hypergraph

Sa

#### Learning GPAs T $t(s_0, a_0, s_0) \to 0.8$ $t(s_0, a_0, s_1) \to 0.2$ ... $\pi$ $s_0 \rightarrow a_0$ $s_1 \rightarrow a_1$ $s_2 \rightarrow a_2$ $s_g \rightarrow \emptyset$

Given a solution policy for a problem

- s<sub>0</sub> S1 Sa \$2 Convert the policy to a transition hypergraph
- 1. We represent the solution policy as a directed hypergraph.
- 2. We convert each directed hypergraph to a GPA using abstraction.
- 3. We iteratively merge each GPA one by one.



Apply abstraction to every vertex

#### Learning GPAs T $t(s_0, a_0, s_0) \to 0.8$ $t(s_0, a_0, s_1) \to 0.2$ ... $\pi$ $s_0 \rightarrow a_0$ $s_1 \rightarrow a_1$ $s_2 \rightarrow a_2$ $s_g \rightarrow \emptyset$

Given a solution policy for a problem

- s<sub>0</sub> S1 Sa \$2 Convert the policy to a transition hypergraph
- 1. We represent the solution policy as a directed hypergraph.
- 2. We convert each directed hypergraph to a GPA using abstraction.
- 3. We iteratively merge each GPA one by one.



Apply abstraction to every vertex

- 1. We represent the solution policy as a directed hypergraph.
- 2. We convert each directed hypergraph to a GPA using abstraction.
- 3. We iteratively merge each GPA one by one.



- 1. We represent the solution policy as a directed hypergraph.
- 2. We convert each directed hypergraph to a GPA using abstraction.
- 3. We iteratively merge each GPA one by one.



# Merging GPAs

PAS
2. We convert each directed hypergraph to a GPA using abstraction.
3. We iteratively merge each GPA one by one.

1.

We represent the solution policy as a directed hypergraph.



<u>s</u>1  $a_2$ GPA 2

# Merging GPAs

- 1. We represent the solution policy as a directed hypergraph.
- 2. We convert each directed hypergraph to a GPA using abstraction.
- 3. We iteratively merge each GPA one by one.







# Using GPAs for solving SSPs

Intuitively, we modify the cost function for transitions that do not appear in the GPA to prune the search space.

Consider the transition



$$v^1(s) = \min_a \sum_{s' \in S} t(s, a, s') [c(s, a, s') + v^0(s')]$$
  
Consider the transition  $t(s_0, a_0, s_2) = 1$   
 $v^1(s_0) = c(s_0, a_0, s_2) + v^0(s_2)$ 



$$\begin{array}{l} v^{1}(s) = \min_{a} \sum\limits_{s' \in S} t(s, a, s') [c(s, a, s') + v^{0}(s')] \\ \\ \text{Consider the transition} \\ v^{1}(s_{0}) = \\ \text{Apply Abstraction} \end{array} \left| \begin{array}{c} t(s_{0}, a_{0}, s_{2}) = 1 \\ c(s_{0}, a_{0}, s_{2}) + v^{0}(s_{2}) \\ (\overline{s_{0}}, \overline{a_{0}}, \overline{s_{2}}) \end{array} \right| \end{array}$$



$$\begin{array}{l} v^{1}(s) = \min_{a} \sum\limits_{s' \in S} t(s, a, s') [c(s, a, s') + v^{0}(s')] \\ \\ \text{Consider the} \\ \text{transition} \\ v^{1}(s_{0}) = \\ \text{Apply Abstraction} \end{array} \left| \begin{array}{l} t(s_{0}, a_{0}, s_{2}) = 1 \\ c(s_{0}, a_{0}, s_{2}) + v^{0}(s_{2}) \\ (\overline{s_{0}}, \overline{a_{0}}, \overline{s_{2}}) \end{array} \right| \end{array}$$



$$\begin{array}{c|c} v^{1}(s) = \min_{a} \sum\limits_{s' \in S} t(s, a, s') [c(s, a, s') + v^{0}(s')] \\ \end{array}$$
Consider the transition
$$\begin{array}{c|c} v^{1}(s_{0}) = \\ \text{Apply Abstraction} \end{array}$$

$$\begin{array}{c|c} t(s_{0}, a_{0}, s_{2}) = 1 \\ c(s_{0}, a_{0}, s_{2}) + v^{0}(s_{2}) \\ (\overline{s_{0}}, \overline{a_{0}}, \overline{s_{2}}) \\ (\overline{s_{0}}, \overline{a_{0}}, \overline{s_{2}}) \\ \end{array}$$

$$\begin{array}{c|c} \infty + v^{0}(s_{2}) \\ \infty + v^{0}(s_{2}) \end{array}$$



GPA

$$\begin{array}{c|c|c} v^{1}(s) = \min_{a} \sum\limits_{s' \in S} t(s, a, s') [c(s, a, s') + v^{0}(s')] \\ \end{array}$$
Consider the transition
$$\begin{array}{c|c} v^{1}(s_{0}) = \\ v^{1}(s_{0}) = \\ \end{array}$$
Apply Abstraction
$$\begin{array}{c|c} t(s_{0}, a_{0}, s_{2}) = 1 \\ c(s_{0}, a_{0}, s_{2}) + v^{0}(s_{2}) \\ (\overline{s_{0}}, \overline{a_{0}}, \overline{s_{2}}) \\ \end{array}$$
Modify the cost function to  $\infty$  for transitions  $t \notin \text{GPA} \end{array}$ 

$$\begin{array}{c|c} v^{1}(s) = \\ \infty + v^{0}(s_{2}) \\ \infty + v^{0}(s_{2}) \end{array}$$

Pruned



$$v^{1}(s) = \min_{a} \sum_{s' \in S} t(s, a, s') [c(s, a, s') + v^{0}(s')]$$
Consider the transition
$$v^{1}(s_{0}) = t_{1}$$

$$v^{1}(s_{0}) = t_{2}$$
Apply Abstraction
Modify the cost function to  $\infty$  for transitions  $t \notin \text{GPA}$ 

$$v^{0}(s_{2})$$

$$model{eq:second} v^{0}(s_{2})$$

$$model{eq:second} v^{$$

$$v^{1}(s) = \min_{a} \sum_{s' \in S} t(s, a, s') [c(s, a, s') + v^{0}(s')]$$
Consider the transition
$$v^{1}(s_{0}) = t_{c(s_{0}, a_{0}, s_{2}) + v^{0}(s_{2})}$$

$$t(s_{0}, a_{0}, s_{2}) + v^{0}(s_{2})$$

$$(\overline{s_{0}}, \overline{a_{0}}, \overline{s_{2}})$$

$$(\overline{s_{0}}, \overline{a_{0}}, \overline{s_{2}})$$

$$(\overline{s_{0}}, \overline{a_{0}}, \overline{s_{2}})$$

$$\infty + v^{0}(s_{2})$$

$$model = t_{c(s_{0}, a_{0}, s_{2})}$$

$$model = t_{c(s_{0}, a_{0}, s_{2})}$$

$$(\overline{s_{0}}, \overline{a_{0}}, \overline{s_{1}})$$

$$(\overline{s_{0}}, \overline{s_{0}}, \overline{s_{0}})$$

$$(\overline{s_{0}}, \overline$$

$$v^{1}(s) = \min_{a} \sum_{s' \in S} t(s, a, s') [c(s, a, s') + v^{0}(s')]$$
Consider the transition
$$v^{1}(s_{0}) = t_{1}$$

$$v^{1}(s_{0}) = t_{2}$$
Apply Abstraction
Modify the cost function to  $\infty$  for transitions  $t \notin \text{GPA}$ 

$$v^{0}(s_{2})$$

$$w^{0}(s_{2})$$

$$v^{1}(s) = \min_{a} \sum_{s' \in S} t(s, a, s') [c(s, a, s') + v^{0}(s')]$$
Consider the transition
$$v^{1}(s_{0}) = t(s_{0}, a_{0}, s_{2}) = 1 t(s_{0}, a_{0}, s_{2}) = 1 t(s_{0}, a_{0}, s_{2}) + v^{0}(s_{2})$$

$$c(s_{0}, a_{0}, s_{2}) + v^{0}(s_{2}) t(s_{0}, \overline{a_{0}}, \overline{s_{2}}) t(s_{0}, \overline{a_{0}}, \overline{s_{2}}) t(s_{0}, \overline{a_{0}}, \overline{s_{1}}) t(s_{0}, \overline{a_{0}}, \overline{s_{1}}) t(s_{0}, \overline{a_{0}}, \overline{s_{1}}) t(s_{0}, a_{0}, \overline{s_{1}}) t(s_{0}, \overline{s_{0}}) t$$

### **Theoretical Analysis**

• Thm 3.1: Our approach is complete.

- **Thm 3.2:** Our approach is guaranteed to find hierarchically optimal policies.
  - Hierarchically optimal **given** the training data.
  - Policy improvement is guaranteed when falling back to the original cost function.

- 4 benchmark domains.
  - 1 from the International Probabilistic Planning Competition (IPPC)
  - 1 from robotics
  - 2 stochastic versions of International Planning Competition (IPC) domains.
- 2 baseline solvers.
  - LRTDP (Bonet and Geffner; 2003)
  - Soft-FLARES (Pineda et al.; 2019)
- Few-shot training.
  - Solution policies from less than 20 problems.
  - Training time was less than 10 seconds.
- Large test problems.
  - Test set consisted of problems that had more than 2x the object counts of the training set.

# **Evaluation Methodology**

- Run a solver until convergence or 7200 seconds.
- Simulate policy computed 100 times with a horizon limit of 100.
- Report avg. cost incurred.
- 10 total runs.





GPA accelerated solvers can solve problems much faster than baselines.



Sometimes baselines do find a policy that can yield equivalent cost, but they provide no convergence guarantees whereas our approach hierarchically converges in a fraction of the time.

### Conclusions

- We introduced an approach that uses abstraction to synthesize GPAs.
- GPAs can be used to prune large parts of the search space.
- Our results show that embedding GPAs results in significant time savings.

#### Future Work

- Utilize description logic based abstractions.
- Add memory to the GPAs.

### Conclusions

- We introduced an approach that uses abstraction to synthesize GPAs.
- GPAs can be used to prune large parts of the search space.
- Our results show that embedding GPAs results in significant time savings.

#### Future Work

• Utilize description logic based abstractions.

# Thank you!